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JC962 U.S. PTO

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PTO/SB/50 (08-00)

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## REISSUE DIVISIONAL PATENT APPLICATION TRANSMITTAL

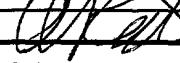
10/020425 PTO  
12/07/01

Address to: <b>BROADENED REISSUE</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	Attorney Docket No.	MTS-520US2
	First Named Inventor	Mitsuaki Oshima
	Original Patent Number	5,761,301
	Original Patent Issue Date (Month/Day/Year)	June 2, 1998
	Express Mail Label No.	EL 923263897 US

APPLICATION FOR REISSUE OF: (check applicable box)	<input checked="" type="checkbox"/> Utility Patent	<input type="checkbox"/> Design Patent	<input type="checkbox"/> Plant Patent
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APPLICATION ELEMENTS (37 CFR 1.173)		ACCOMPANYING APPLICATION PARTS	
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/56) <i>(Submit an original, and a duplicate for fee processing)</i>		7. <input type="checkbox"/> Statement of status/support for all changes to the claims. See 37 CFR 1.173(c).	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		8. <input type="checkbox"/> Original U.S. Patent for surrender <input type="checkbox"/> Ribboned Original Patent Grant <input type="checkbox"/> Statement of Loss (PTO/SB/55)	
3. <input checked="" type="checkbox"/> Specification and Claims in a double column copy of patent format (amended, if appropriate)		9. <input checked="" type="checkbox"/> Foreign Priority Claim (35 U.S.C. 119) <i>(if applicable)</i>	
4. <input type="checkbox"/> Drawing(s) (proposed amendments, if appropriate)		10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations	
5. <input checked="" type="checkbox"/> Substitute Reissue Oath / Declaration (original or copy) and copy of Defective Declaration (37 C.F.R. § 1.175)(PTO/SB/51 or 52)		11. <input type="checkbox"/> English Translation of Reissue Oath/Declaration <i>(if applicable)</i>	
6. Original U.S. Patent currently assigned?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>(If Yes, check applicable box(es))</i>	12. <input checked="" type="checkbox"/> Preliminary Amendment	
	<input checked="" type="checkbox"/> Written Consent of all Assignees (PTO/SB/53)	13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	
	<input checked="" type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of (PTO/SB/96) Attorney	14. <input checked="" type="checkbox"/> Other: Copy of Offer to Surrender	

14. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label  <i>(Insert Customer No. or Attach bar code label here)</i>			<input type="checkbox"/> Correspondence address below		
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NAME (Print/Type)	Allan Ratner	Registration No. (Attorney/Agent)	19,717
Signature		Date	December 7, 2001

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## REISSUE DIVISIONAL APPLICATION FEE TRANSMITTAL FORM

Docket Number (Optional)  
MTS-520US2

### Claims as Filed - Part 1

Claims in Patent	For	Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity	
				Rate	Fee	Rate	Fee
(A) 43	Total Claims (37 CFR 1.16(j))	(B) 03	* 00	X\$		X\$	=
(C) 07	Independent Claims (37 CFR 1.16(i))	(D) 01	* 00	=	X\$	=	
				Basic Fee (37 CFR 1.16(h))	\$	\$ 740.00	
				Total Filing Fee	\$	OR	\$ 740.00

### Claims as Amended - Part 2

	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
					Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	***	MINUS	**	*	X\$		X\$	=
Independent Claims (37 CFR 1.16(i))	***	MINUS	****	=	X\$	=	X\$	=
					Total Additional Fee	\$	OR	\$

\* If the entry in (D) is less than the entry in (C), Write "0" in column 3.

\*\* If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.

\*\*\* After any cancellation of claims

\*\*\*\* If "A" is greater than 20, use (B -A); if "A" is 20 or less, use (B - 20).

\*\*\*\*\* "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).

Applicant claims small entity status. See 27 CFR 1.27.

Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_.  
 A duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. 18-0350.  
 A duplicate copy of this sheet is enclosed.

A check in the amount of \$ 740.00 to cover the filing / additional fee is enclosed.

Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on form PTO-2038.**

December 7, 2001

Date

Signature of Applicant, Attorney or Agent of Record

Allan Ratner, Reg. No. 19,717

Typed or printed name

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): M. Oshima et al.

Docket No.

MTS-520US2

Serial No.	Filing Date	Examiner	Group Art Unit
To Be Assigned	Herewith		

**Invention: MARK FORMING APPARATUS, METHOD OF FORMING LASER MARK ON OPTICAL DISK, REPRODUCING APPARATUS, OPTICAL DISK AND METHOD PRODUCING OPTICAL DISK**

I hereby certify that the following correspondence:

Reissue Divisional Application and its related enclosures

*(Identify type of correspondence)*

Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:  
The Assistant Commissioner for Patents, Washington, D.C. 20231 on *December 7, 2001*

Kathleen Libby*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EL923263897US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: M. Oshima et al.

: Art Unit: 2132

Serial No.: 09/588,364

: Examiner: S. Kabakoff

Filed: June 2, 2000

FOR: MARK FORMING APPARATUS,  
METHOD OF FORMING LASER MARK ON  
OPTICAL DISK, REPRODUCING  
APPARATUS, OPTICAL DISK AND  
METHOD OF PRODUCING OPTICAL DISK

COPY

CERTIFICATE UNDER 37 CFR 3.73 (b)

Assistant Commissioner for Patents

Washington, D.C. 20231

SIR:

Matsushita Electric Industrial Co., Ltd., a Japanese Corporation certifies that it is the assignee of the entire right, title and interest in U.S. Patent No. 5,761,301, which issued from application number 08/560,015, filed on November 17, 1995 by virtue of an assignment from the inventors. The assignment was recorded in the Patent and Trademark Office at Reel 7884, Frame 0122, for which a copy thereof is attached.

The undersigned has reviewed all the documents in the chain of title from patent application 08/560,015 to U. S. Patent No. 5,761,301 and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date : October 1, 2001

Name : H. Kitagawa

**Title** : Authorizing Development Center  
**Authorized Signatory Officer**

Signature : \_\_\_\_\_